

## Transfer on Death (TOD) Registration Request Form

Complete this form to establish or change a Transfer on Death designation for your non-retirement Columbia Threadneedle Investments account(s) pursuant to the Massachusetts Uniform TOD Security Registration Act. Massachusetts residency is not required. Do not list any IRA accounts on this form.

### Part 1 Investor Information: (Please type or print clearly.)

Existing Account Number(s), if applicable			
<input type="text"/>			
Account Owner (First, Middle initial, Last)		Date of Birth (MM/DD/YYYY)	Social Security Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Co-Account Owner (First, Middle initial, Last)		Date of Birth (MM/DD/YYYY)	Social Security Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street Address or APO/FPO	City	State	ZIP Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Daytime Phone			
<input type="text"/>			

### Part 2 Beneficiary Designation

The undersigned hereby revokes any and all prior beneficiary designations and/or elections by the Owner(s) of a method of settlement for the beneficiary of the proceeds upon the death of the owner. To determine when the death benefit will be payable to the beneficiary, refer to the General Instructions section of this form.

The undersigned hereby requests that the named beneficiary of said account(s) be added or changed as follows. Choose only one option and show full names of all beneficiaries.

**Note:**

- If an attachment is required to complete the beneficiary designation, make sure that the attachment contains the account number, date and all appropriate signatures.
- Be sure the Primary and Secondary designations each total 100%.
- If a Testamentary Trust is designated, please provide the article and paragraph number from the will in the designation. The execution of this designation requires that your will be admitted to probate and the Trustee(s) make claim for the proceeds accompanied by due proof of the trustee(s)' appointment.
- Unless specifically noted on this form, all designations will be considered to be equally with rights of survivorship.
- All beneficiary designations are subject to the approval of the Transfer Agent.
- Provide a street address for any non-immediate family members or any organization named.
- Be sure to review your designation with your legal advisor.

**Select Primary or Contingent Status\*** (Select one)

Primary       Contingent

Whole Percentage

Date of Birth (MM/DD/YYYY)

Beneficiary Name\* Provide full name of Person, Trust, or Organization designated as beneficiary.

Trust Date\* If Trust Named

Relationship\* Identify the relationship between this beneficiary and the owner.

Social Security Number

Address of Beneficiary

City

State

ZIP Code

For assistance completing this form, please contact a representative at 800.345.6611, Monday through Friday, 8:00 a.m. to 7:00 p.m. Eastern time.

**Part 2** **Beneficiary Designation:** (continued)

Select Primary or Contingent Status\* (Select one)

Primary       Contingent

Whole Percentage

Date of Birth (MM/DD/YYYY)

Beneficiary Name\* Provide full name of Person, Trust, or Organization designated as beneficiary.

Trust Date\* If Trust named

Relationship\* Identify the relationship between this beneficiary and the owner.

Social Security Number

Address of Beneficiary

City

State

ZIP Code

Select Primary or Contingent Status\* (Select one)

Primary       Contingent

Whole Percentage

Date of Birth (MM/DD/YYYY)

Beneficiary Name\* Provide full name of Person, Trust, or Organization designated as beneficiary.

Trust Date\* If Trust named

Relationship\* Identify the relationship between this beneficiary and the owner.

Social Security Number

Address of Beneficiary

City

State

ZIP Code

**Other:** This beneficiary designation is considered to be with rights of survivorship if you do not indicate otherwise. If you are using an attachment to provide a free-form beneficiary designation, the attachment must also be signed and dated by all owners of the account(s) and list all account numbers.

\_\_\_\_\_

**\*Items marked with an asterisk are required.**

Minor Beneficiaries: If any beneficiary listed in this form is a minor, I request that proceeds be paid to \_\_\_\_\_ as the custodian under the \_\_\_\_\_ (name of state) UTMA (not available in South Carolina). In the event that the custodian named above is unable to serve at the time of settlement, I designate \_\_\_\_\_ as successor custodian.

### Part 3 Spousal Consent

Check the appropriate box to indicate Owner's Marital Status:  Single  Married (See "Consent of Spouse")  Widowed  Divorced

#### Consent of Spouse

This consent of spouse must be signed if all of the following conditions are present: (a) the spouse of the owner is living, (b) is not the sole primary beneficiary named and (c) the owner and spouse are residents of a community property state. I have reviewed the above beneficiary designation and, as the spouse of the owner, I consent to the beneficiary designation and all contributions of money or property to be used for the purchase of such accounts to be issued in my spouse's name, whether heretofore, now or hereafter and I relinquish all my statutory or other rights thereto.

Print Name of Spouse

Signature of Spouse

**X**

Date (MM/DD/YYYY)

Print Name of Witness for Spouse

Signature of Witness for Spouse

**X**

Date (MM/DD/YYYY)

### Part 4 Authorization/Signature(s)

The undersigned, residents of the state indicated in Part 1, have read and understand the attached Guidelines for TOD Registration, and hereby instruct Columbia Funds to register the accounts listed above, in transfer on death form, for the benefit of the beneficiary(ies) designated above. Each of the undersigned hereby agrees to indemnify Columbia Funds, Columbia Management Investment Services Corp., and their respective affiliates, officers, directors, agents and employees against any loss, claim or expense (including reasonable attorney's fees) to the extent that any transfer on death effected pursuant to these instructions is alleged or found for any reason to have been invalid or ineffective for any reason and Columbia Funds, Columbia Management Investment Services Corp., and their respective affiliates, officers, directors, agents and employees will be entitled to attach or debit the account of the TOD beneficiary(ies) to the extent necessary to enforce their rights to this indemnity.

**A Medallion Signature Guarantee or Signature Validation Program stamp is required if adding or changing TOD beneficiaries to an existing account.**

Print Name of Account Owner

Signature of Account Owner

**X**

Capacity (if Applicable)

Date (MM/DD/YYYY)

Print Name of Co-Account Owner

Signature of Co-Account Owner

**X**

Capacity (if Applicable)

Date (MM/DD/YYYY)

Medallion Signature Guarantee Stamp  
or  
SVP Stamp

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or  
SVP Stamp

The signatures of all account owners must be guaranteed by a Medallion Signature Guarantee (MSG) or Signature Validation Program (SVP) Stamp. A MSG or SVP Stamp may be executed by any eligible institution, including, but not limited to, the following: banks, credit unions, savings associations, brokers or dealers. An eligible guarantor institution providing an MSG must participate in one of the three Medallion Signature Guarantee programs recognized by the Securities and Exchange Commission. These Medallion Signature Guarantee programs include the Securities Transfer Agents Medallion Program (STAMP), the Stock Exchanges Medallion Program (SEMP) and the New York Stock Exchange Medallion Signature Program (MSP). A MSG or SVP stamp helps assure that a signature is genuine and not a forgery. Notarization by a notary public is not an acceptable signature guarantee or signature validation. The Transfer Agent reserves the right to reject a signature guarantee or signature validation and to request additional documentation for any transaction.

### Part 5 Return Instructions

Regular mail Columbia Management Investment Services Corp.  
P.O. Box 8081  
Boston, MA 02266-8081

Overnight mail Columbia Management Investment Services Corp.  
c/o Boston Financial Data Services, Inc.  
30 Dan Road, Suite 8081  
Canton, MA 02021-2809

For assistance completing this form, please contact a representative at 800.345.6611, Monday through Friday, 8:00 a.m. to 7:00 p.m. Eastern time.

Transfer on Death (TOD) is a form of account registration which enables a security owner, while retaining all normal rights of ownership during his/her lifetime, to designate an individual(s) or other entity that will automatically become the security owner on the death of the current owner. The ownership of the security passes directly to the designated beneficiary outside of probate. During the lifetime of the owner(s), the beneficiary has no rights in, to or with respect to the security or any dividends or interest paid. The owner(s) can transfer the security and negotiate dividend checks without the signature or consent of the beneficiary. TOD registration is available only for interests held in the Columbia funds.

Neither Columbia Management Investment Services Corp. nor Columbia Funds, are responsible for determining the tax and legal consequences concerning the decision to register shares in TOD form. Neither Columbia Management Investment Services Corp. nor Columbia Funds shall be responsible for dividends or distributions in respect of shares registered in TOD form paid after the owner's death but before the transfer of such shares to the designated beneficiary. Investors may wish to consult with their attorney or tax advisor to obtain advice regarding the tax and legal consequences of TOD registration.

**ELIGIBLE OWNERS:** The TOD registration format can only be used for "natural persons": (1) sole owner accounts for natural persons, and (2) natural persons holding the shares as joint owners with rights of survivorship (i.e., joint tenants ("JT TEN") or tenants by the entireties ("TEN ENT")). TOD registration is not available for non-natural persons (e.g., corporations, trusts, etc.), tenants in common or community property registrations. Tenants in common and community property registrations are not allowed because such tenancies lack the right of survivorship.

**ELIGIBLE BENEFICIARIES:** You may appoint one or more beneficiaries. In addition, primary and contingent beneficiaries may be designated. Primary beneficiaries are the first in line to receive the account upon the death of the account owner. Contingent beneficiaries receive the account upon the death of the account owner(s) if, and only if, there are no surviving primary beneficiaries. The account owner does not have to designate any contingent beneficiaries.

The beneficiaries on a TOD registration may be natural persons or other entities such as trusts, corporations or guardianships. Custodians under the Uniform Transfers to Minors Act are permitted beneficiaries in a TOD registration. Custodians under the Uniform Gift to Minors Act ("UGMA") are not permitted beneficiaries in a TOD registration (because UGMA only applies to gifts made during the lifetime of the account owner). Minors should not be designated beneficiaries unless a guardian or custodian is referenced in the registration. Until the account owner(s) dies, the named beneficiary has no right in the shares in the TOD account and no instructions can be accepted from, or information provided to, such beneficiary. If the beneficiary fails to survive the account owner, the account will be treated as belonging to the owner's estate. If the beneficiary survives the owner but is not alive at the time the shares are presented for transfer, the shares become part of the beneficiary's estate.

**HOW TO REGISTER A TOD ACCOUNT:** To establish a new Columbia Fund account with TOD registration, complete the New Account Application and the Transfer on Death (TOD) Registration Request Form. To change the registration on an existing Columbia fund account to a TOD registration, complete the Transfer on Death (TOD) Registration Request Form.

**TRANSFER AND REVOCATION DURING LIFE OF OWNER(S):** You may change or revoke the TOD registration with clear instructions signed by all account owner(s), certified by a Signature Validation Program (SVP) stamp or Medallion Signature Guarantee (MSG). Mail instructions to Columbia Funds, P.O. Box 8081, Boston, MA, 02266-8081. The beneficiary may not be revoked or changed by the account owner(s)

in a Will or codicil (addendum to a Will). If one co-owner has died, the surviving co-owner must provide evidence of the death of the deceased co-owner (certified death certificate) and inheritance tax waivers and/or affidavits of domicile of the deceased co-owner, if applicable. The surviving co-owner may register the shares into sole ownership or can change or delete the beneficiary.

**NEGOTIATION OF TOD ACCOUNTS:** On the death of a sole owner or the last remaining joint owner, the shares become the property of the designated beneficiary. The beneficiary must survive the owner to be entitled to the shares. If the beneficiary does not survive the owner or if the beneficiary is unable to accept its interest, the shares become the property of the owner's estate. Moreover, if the beneficiary survives the owner but is not alive when the transfer request is received, the shares become the property of the beneficiary's estate. As noted above, primary beneficiaries are the first in line to receive the account upon the death of the account owner. Thus, the shares are transferred to each of the primary beneficiaries, in equal shares, who survive the account owner(s). If there are multiple primary or contingent beneficiaries, the shares are equally divided among the designated primary or contingent beneficiaries, as applicable. Contingent beneficiaries receive the account upon the death of the account owner(s) if, and only if, there are no surviving primary beneficiaries.

If the account is owned by joint tenants with rights of survivorship, upon the death of the first joint tenant, no transfer to beneficiaries will be made. The surviving joint tenant becomes the sole owner of the assets in the account. Upon the death of the last surviving owner, the assets in the account will be transferred to the beneficiaries in accordance with the Transfer on Death (TOD) Registration Request Form in effect with respect to that account, unless such designation or registration has been revoked or otherwise superseded.

Shares will not be transferred to a beneficiary if the transfer agent shall receive written notice from any claimant to any interest in the security objecting to the transfer.

**HOW TO TRANSFER SHARES TO A BENEFICIARY:** To transfer shares to a beneficiary, we must receive a certified copy of the death certificate of the owner, inheritance tax waiver/affidavit of domicile of the owner if applicable, and a Transfer of Ownership Form or Letter of instructions from the named beneficiary, signed in their official capacity. A medallion signature guaranteed may be required. If the beneficiary wishes to keep the assets at Columbia, they must also complete a Columbia Threadneedle Investments New Account Application.

**RESPONSIBILITIES OF COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP:** Columbia Management Investment Services Corp. is not responsible for the payment of any debts of account owner(s) or the payment of any taxes or other amounts owed by account owner(s) or by the estate(s) of account owner(s) or any beneficiary. In addition, Columbia Management Investment Services Corp. does not have any duty to locate beneficiaries, to determine the marital status of account owner(s) at any time, or to determine any other fact which may affect a transfer pursuant to the TOD registration of any account. Columbia Management Investment Services Corp. and its affiliates do not offer tax or legal advice. Consumers may wish to consult with their tax advisor or attorney regarding their specific situation.

**FUTURE CHANGE IN THESE GUIDELINES:** These guidelines may be amended from time to time by Columbia Management Investment Services Corp. by written notice to the account holder and the terms of the guidelines in effect at the death of the last surviving account holder will control the disposition of assets under the guidelines.

Any questions or concerns should be directed to Columbia Management Investment Services Corp., P.O. Box 8081, Boston, MA, 02266-8081, or by phone 800.345.6611.