

IRA Direct Rollover Form

Use this form only if you would like Columbia Threadneedle Investments to help facilitate a direct rollover of applicable assets or accounts in your Employer Sponsored Retirement Plan to a Columbia Threadneedle Investments Traditional IRA, Roth IRA or SEP IRA. Your rollover cannot be completed until you contact your employer for the appropriate forms. (If you are establishing a new Columbia Threadneedle Investments IRA, a Columbia Threadneedle Investments IRA application must accompany this form.)

Part 1 Depositor Information: (Please type or print.)

IRA Depositor/Account Owner Name (First, Middle Initial, Last)		
Address		Apt/Lot/Unit
City	State	ZIP Code
Daytime Phone Number	Social Security Number	Date of Birth (MM/DD/YYYY)

Part 2 Your Employer Sponsored Retirement Plan

Plan Name	Plan Type
	<input type="checkbox"/> 401(k) <input type="checkbox"/> Profit Sharing/Money Purchase Plan <input type="checkbox"/> 403(b) <input type="checkbox"/> Other (specify)
Name of Transferrer, Trustee, Custodian or Employer	
Contact Person or Department	Phone Number
Address	
City	State Zip Code

For assistance completing this form, please contact a representative at 800.345.6611, Monday through Friday, 8:00 a.m. to 7:00 p.m. Eastern time.

Part 3 Transfer Instructions to the Current IRA Custodian or Trustee

Note: You may wish to contact the current IRA custodian or trustee to ensure you are providing the correct mailing address and contact information.

Current Custodian	Attention		
<input type="text"/>	<input type="text"/>		
Address			
<input type="text"/>			
City	State	Zip Code	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Phone Number			
<input type="text"/>			

Current Investment

Please liquidate the below referenced account(s) and issue a check made payable to State Street Bank and Trust Company FBO (Account Holder's Name) IRA. The minimum initial investment is \$1,000 per fund.

Fund Name	Account Number	Percentage	Amount
<input type="text"/>	<input type="text"/>	<input type="text"/>	or \$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or \$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or \$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or \$ <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or \$ <input type="text"/>

Part 4 Investment Instructions

- Open a new account and invest as instructed below. Please attach completed Columbia Threadneedle Investments IRA application.
- Invest in my existing Columbia Threadneedle Investments IRA account as instructed below.

Fund Name	Existing Account Number	Amount	Percentage*
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	\$ <input type="text"/>	or <input type="text"/>

* Whole percents only.

Part 5 Investor Authorization

If you are over 70½, please review the following paragraph below.

The Internal Revenue Service (IRS) requires you to withdraw an annual Required Minimum Distribution (RMD) from your IRA(s) (Roth IRAs excluded) once you obtain age 70½. If you have more than one IRA, you can add together all of your RMD amounts and withdraw the total amount from any of your Individual Retirement Accounts. If you do not annually withdraw the total RMD amount from your Individual Retirement Account(s), the IRS can impose a 50% penalty tax on the RMD amount that should have been withdrawn. You may wish to contact Columbia Threadneedle Investments for the appropriate distribution forms so that you may start or continue to receive your required minimum distributions. To request distribution forms, call a representative at the telephone number listed below.

The undersigned certifies to the State Street Bank and Trust Company, as accepting Custodian, that the employer retirement plan assets being transferred are an eligible rollover distribution under the Internal Revenue Code.

The IRA Depositor/Account Owner must print and sign below. If signing in capacity as an authorized individual, a Medallion Signature Guarantee is required.

Print Name of Depositor/Account Owner or Authorized Individual

Capacity (if applicable)

Signature of Depositor/Account Owner or Authorized Individual

Date (MM/DD/YYYY)

X

Medallion Signature Guarantee (If required by current Custodian/Trustee. Please contact them for their requirements.)

Affix Medallion Guarantee stamp here.

A Medallion Signature Guarantee helps assure that a signature is genuine and not a forgery. A Medallion Signature Guarantee must be provided by an eligible guarantor institution including, but not limited to, the following: bank, credit union, savings association, broker or dealer, that participates in the Securities Transfer Association Medallion Program (STAMP), the Stock Exchange Medallion Program (SEMP) or the New York Stock Exchange Medallion Signature Program (MSP). Notarization by a notary public is not an acceptable signature guarantee. The Transfer Agent reserves the right to reject a signature guarantee and to request additional documentation for any transaction.

State Street Bank and Trust Company agrees to accept transfer of the above amount for deposit into the Columbia Threadneedle Investments Individual Retirement Account, and requests the liquidation and/or transfer of assets as indicated above. The Columbia Threadneedle Investments Individual Retirement Account is a valid IRA as described in Section 408(a) of the Internal Revenue code.

Part 6 Return Instructions

Regular mail
Columbia Management Investment
Services Corp.
P.O. Box 8081
Boston, MA 02266-8081

Overnight mail
Columbia Management Investment
Services Corp.
c/o Boston Financial
30 Dan Road, Suite 8081
Canton, MA 02021-2809

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Columbia Threadneedle Investments (Columbia Threadneedle) is the global brand name of the Columbia and Threadneedle group of companies
Columbia Management Investment Services Corp. is the transfer agent for Columbia Funds.