



## IRA Transfer of Assets Form

Use this form to authorize Columbia Threadneedle Investments to initiate a direct transfer of your existing IRA to a Columbia Threadneedle Investments IRA. Please type or print clearly and use a separate form for each company from which you are transferring assets to Columbia Threadneedle Investments. If you are establishing a new Columbia Threadneedle Investments IRA, complete and attach a Columbia Threadneedle Investments IRA Application.

### Part 1 Depositor Information: (Please type or print.)

IRA Depositor/Account Owner Name (First, Middle Initial, Last)	Date of Birth (MM/DD/YYYY)	Social Security Number	
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street Address or APO/FPO	City	State	ZIP Code
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mobile Phone Number	Home Phone Number		
<input type="text"/>	<input type="text"/>		

### Part 2 Type of IRA to be Transferred

<input type="checkbox"/> Traditional IRA	<input type="checkbox"/> Inherited Traditional IRA	<input type="checkbox"/> Roth IRA	<input type="checkbox"/> SEP IRA	<input type="checkbox"/> SARSEP IRA	<input type="checkbox"/> SIMPLE IRA
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### Part 3 Transfer Instructions to the Current IRA Custodian or Trustee

Note: You may wish to contact the current IRA custodian or trustee to ensure you are providing the correct mailing address and contact information.

Current Custodian	Attention	
<input type="text"/>	<input type="text"/>	
Address		
<input type="text"/>		
City	State	ZIP Code
<input type="text"/>	<input type="text"/>	<input type="text"/>
Phone Number		
<input type="text"/>		

For assistance completing this form, please contact a representative at 800.345.6611, Monday through Friday, 8:00 a.m. to 7:00 p.m. ET.

**Part 3** **Transfer Instructions to the Current IRA Custodian or Trustee:** *Continued.*

**Current Investment**

Please indicate below if this is a transfer of assets or a transfer in kind. The minimum initial investment is \$1,000 per fund.

- Transfer of Assets – Please liquidate the below referenced account(s) and issue a check made payable to Columbia Funds FBO (Account Holder’s Name) IRA.
- Transfer in Kind – Only Columbia Fund Family is eligible to be transferred-in-kind. The assets referenced below will be transferred into the same fund and share class with Columbia Threadneedle Investments. Please indicate a percentage or share amount to be transferred. Not available for SIMPLE IRAs.

Fund Name	Account Number	Percentage	Amount	Shares
			or \$	or
			or \$	or
			or \$	or
			or \$	or
			or \$	or

**Annuity**

Account Number	Account Number	Account Number

Please surrender the above referenced annuity contract(s) and issue a check made payable to Columbia Funds FBO (Account Holder’s Name) IRA.

**Certificate of Deposit**

Account Number	Maturity Date (MM/DD/YYYY)

- Liquidate all **or**  liquidate part (\$ ) of my certificate of deposit  immediately **or**  at maturity.

Please transfer the proceeds from the above certificate of deposit and issue a check made payable to Columbia Funds FBO (Account Holder’s Name) IRA. This form must be received at least 4 weeks prior to maturity date.

**Other Type of Investment**

Please liquidate the above referenced account and issue a check made payable to Columbia Funds FBO (Account Holder’s Name) IRA.

**Part 4** **Investment Instructions**

- Open a new Columbia Threadneedle Investments IRA account. Please attach a completed application.
- Invest in my existing Columbia Threadneedle Investments IRA account as instructed below.
- Transfer-in-kind. I am requesting a transfer of assets from a brokerage firm or bank IRA which is presently invested in Columbia Fund Family.

Fund Name	Existing Account Number	Amount	Percentage*
		\$	or %
		\$	or %
		\$	or %

\*Whole percent only

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**Part 5 Investor Authorization**

If you are over 72, please review the following paragraph below.

The Internal Revenue Service (IRS) requires you to withdraw an annual Required Minimum Distribution (RMD) from your IRA(s) (Roth IRAs excluded) once you obtain age 72. If you have more than one IRA, you can add together all of your RMD amounts and withdraw the total amount from any of your Individual Retirement Accounts. If you do not annually withdraw the total RMD amount from your Individual Retirement Account(s), the IRS can impose a 50% penalty tax on the RMD amount that should have been withdrawn. You may wish to contact Columbia Threadneedle Investments for the appropriate distribution forms so that you may start or continue to receive your required minimum distributions. To request distribution forms, call a representative at the telephone number listed below.

I, the undersigned Depositor, certify to UMB Bank, N.A., as accepting Custodian, that the IRA from which the assets are being transferred meets the requirements of the Internal Revenue Code Section 408(a), 408(k), 408(p) or 408A (as the case may be).

**The IRA Depositor/Account Owner must print and sign below. If signing in capacity as an authorized individual, a Medallion Signature Guarantee is required.**

Print Name of Depositor/Account Owner or Authorized Individual	Capacity (if applicable)
<input type="text"/>	<input type="text"/>
Signature of Depositor/Account Owner or Authorized Individual	Date (MM/DD/YYYY)
<input checked="" type="checkbox"/> <input type="text"/>	<input type="text"/>

**Medallion Signature Guarantee** (If required by current Custodian/Trustee. Please contact them for their requirements.)

**Affix Medallion Signature Guarantee stamp here.**

A Medallion Signature Guarantee helps assure that a signature is genuine and not a forgery. A Medallion Signature Guarantee must be provided by an eligible guarantor institution including, but not limited to, the following: bank, credit union, savings association, broker or dealer, that participates in the Securities Transfer Association Medallion Program (STAMP), the Stock Exchange Medallion Program (SEMP) or the New York Stock Exchange Medallion Signature Program (MSP). Notarization by a notary public is not an acceptable signature guarantee. The Transfer Agent reserves the right to reject a signature guarantee and to request additional documentation for any transaction.

UMB Bank, n.a. agrees to accept transfer of the above amount for deposit into the Columbia Threadneedle Investments Individual Retirement Account, and requests the liquidation and/or transfer of assets as indicated above. The Columbia Threadneedle Investments Individual Retirement Account is a valid IRA as described in Section 408(a) of the Internal Revenue code.

**Part 6 Return Instructions**

Regular mail	Columbia Management Investment Services Corp. P.O. Box 219104 Kansas City, MO 64121-9104	Overnight mail	Columbia Management Investment Services Corp. c/o DST Asset Manager Solutions, Inc. 430 W 7th Street, STE 219104 Kansas City, MO 64105-1407
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Columbia Threadneedle Investments (Columbia Threadneedle) is the global brand name of the Columbia and Threadneedle group of companies. Columbia Management Investment Services Corp. is the transfer agent for Columbia Funds.

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