

## IRA Direct Rollover Form

Use this form only if you would like Columbia Threadneedle Investments to help facilitate a direct rollover of applicable assets or accounts in your Employer Sponsored Retirement Plan to a Columbia Threadneedle Investments Traditional IRA, Roth IRA or SEP IRA. Your rollover cannot be completed until you contact your employer for the appropriate forms. (If you are establishing a new Columbia Threadneedle Investments IRA, a Columbia Threadneedle Investments IRA application must accompany this form.)

### Part 1 Depositor Information: (Please type or print.)

IRA Depositor/Account Owner Name (First, Middle Initial, Last)	Date of Birth (MM/DD/YYYY)	Social Security Number	
Street Address or APO/FPO	City	State	ZIP Code
Mobile Phone Number	Home Phone Number		

### Part 2 Your Employer Sponsored Retirement Plan

Plan Name	Plan Type	<input type="checkbox"/> 401(k)
		<input type="checkbox"/> Profit Sharing/Money Purchase Plan
		<input type="checkbox"/> 403(b)
Name of Transferrer, Trustee, Custodian or Employer	<input type="checkbox"/> Other (specify)	
Contact Person or Department	Phone Number	
Address		
City	State	Zip Code

### Part 3 Transfer Instructions to the Current IRA Custodian or Trustee

Note: You may wish to contact the current IRA custodian or trustee to ensure you are providing the correct mailing address and contact information.

Current Custodian	Attention	
<input type="text"/>	<input type="text"/>	
Address		
<input type="text"/>		
City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>
Phone Number		
<input type="text"/>		

#### Current Investment

Please liquidate the below referenced account(s) and issue a check made payable to Columbia Funds FBO (Account Holder's Name) IRA. The minimum initial investment is \$1,000 per fund.

Fund Name	Account Number	\$	%*
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>

\* Whole percents only.

### Part 4 Investment Instructions

- Open a new Columbia Threadneedle Investments IRA account. Please attach a completed application.
- Invest in my existing Columbia Threadneedle Investments IRA account as instructed below.

Fund Name	Existing Account Number	\$	%*
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	or <input type="text"/>

\* Whole percents only.

## Part 5 Investor Authorization

If you are over 73, please review the following paragraph below.

The Internal Revenue Service (IRS) requires you to withdraw an annual Required Minimum Distribution (RMD) from your IRA(s) (Roth IRAs excluded) once you obtain age 73. If you have more than one IRA, you can add together all of your RMD amounts and withdraw the total amount from any of your Individual Retirement Accounts. If you do not annually withdraw the total RMD amount from your Individual Retirement Account(s), the IRS can impose an excise tax up to 25% on the RMD amount that should have been withdrawn. You may wish to contact Columbia Threadneedle Investments for the appropriate distribution forms so that you may start or continue to receive your required minimum distributions. To request distribution forms, call a representative at the telephone number listed below.

I, the undersigned Depositor, certify to UMB Bank, N.A., as accepting Custodian, that the employer retirement plan assets being transferred are an eligible rollover distribution under the Internal Revenue Code.

**The IRA Depositor/Account Owner must print and sign below. If signing in capacity as an authorized individual, a Medallion Signature Guarantee is required.**

Print Name of Depositor/Account Owner or Authorized Individual

Capacity (if applicable)

Signature of Depositor/Account Owner or Authorized Individual

Date (MM/DD/YYYY)

X

**Medallion Signature Guarantee** (If required by current Custodian/Trustee. Please contact them for their requirements.)

**Affix Medallion Signature Guarantee stamp here.**

A Medallion Signature Guarantee helps assure that a signature is genuine and not a forgery. A Medallion Signature Guarantee must be provided by an eligible guarantor institution including, but not limited to, the following: bank, credit union, savings association, broker or dealer, that participates in the Securities Transfer Association Medallion Program (STAMP), the Stock Exchange Medallion Program (SEMP) or the New York Stock Exchange Medallion Signature Program (MSP). Notarization by a notary public is not an acceptable signature guarantee. The Transfer Agent reserves the right to reject a signature guarantee and to request additional documentation for any transaction.

UMB Bank, n.a. agrees to accept transfer of the above amount for deposit into the Columbia Threadneedle Investments Individual Retirement Account, and requests the liquidation and/or transfer of assets as indicated above. The Columbia Threadneedle Investments Individual Retirement Account is a valid IRA as described in Section 408(a) of the Internal Revenue code.

## Part 6 Return Instructions

Regular mail Columbia Management Investment Services Corp.  
P.O. Box 219104  
Kansas City, MO 64121-9104

Overnight mail Columbia Management Investment Services Corp.  
801 Pennsylvania Ave STE 219104  
Kansas City, MO 64105-1307

For assistance completing this form, please contact a representative at 800.345.6611, Monday through Friday, 8:00 a.m. to 7:00 p.m. Eastern time.

Columbia Threadneedle Investments (Columbia Threadneedle) is the global brand name of the Columbia and Threadneedle group of companies  
Columbia Management Investment Services Corp. is the transfer agent for Columbia Funds.